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Joseph Mackenzie, Chair  
Wek'èezhii Land and Water Board  
PO Box 32, Wekweètì, NT X1A 3S3  
Canada

17 September 2021

Dear Mr. Mackenzie:

**Subject: DDMI Submission – PKMW Engagement Plan Version 1.0**

Please find attached Diavik Diamond Mines (2012) Inc.'s (DDMI) Engagement Plan Version 1.0 (the Plan) for the Processed Kimberlite to Mine Workings (PKMW) Project. The Plan meets requirements of Part B, Condition 11, of the Amended Diavik Water Licence issued by the The Wek'èezhii Land and Water Board (WLWB) to DDMI on June 8, 2021. The Plan also addresses DDMI's engagement requirements in the Mackenzie Valley Impact Review Board's Report of Environmental Assessment and Reasons for Decision (EA1819-01). A table of DDMI's conformity to Schedule 2 under Part B, Condition 11, of the Amended Diavik Water Licence (W2015L2-0001) is attached.

Since approval of the EA1819-01 by the responsible Minister(s) in June 2020, DDMI has been working to formalize PKMW Project engagement protocols with potentially affected Indigenous Groups.

While Engagement Protocols are community specific, the shared engagement vision of DDMI and the Indigenous Government Organizations (IGOs) is to promote relationship building, foster increased engagement opportunities, and clarify roles and responsibilities around engagement for both DDMI and the IGO's within the Engagement Protocols.

To date, DDMI has collaboratively designed and finalized Engagement Protocols with Łutsel K'e Dene First Nation, North Slave Métis Alliance Deninu Kue First Nation and Northwest Territory Métis Nation. An Engagement Protocol is currently in draft with Yellowknives Dene First Nation. DDMI continues to attempt to engage with Fort Resolution Métis Government (FRMG) to understand how they would like to be engaged. The Tłıcho Government has its own engagement protocols; Tłıchq̓ Elexe Gots'eedo (TEG) together with Diavik's PKMW Engagement Plan forms the foundation for engagement with the Tłıcho Government.

DDMI has had relationships with Participation Agreement Communities for over 20 years. Testament to these working relationships is the feedback from the Kitikmeot Inuit Association (KIA) that a formal engagement protocol was not needed as there are already

agreements and relationships established between the KIA and DDMI that facilitates engagement.

The PKMW Engagement Plan Version 1.0 will inform DDMI's engagement with potentially affected Indigenous Groups during the implementation of the PKMW Project to ensure that that water is safe for people, aquatic life, and wildlife and suitable for cultural use.

Please do not hesitate to contact the undersigned if you have any questions related to this submission.

Yours sincerely,



Kofi Boa-Antwi  
Superintendent, Environment



Tara Marchiori  
Senior Advisor, Communities and Social  
Performance

cc: Anneli Jokela, WLWB  
Kassandra DeFrancis, WLWB

Attachments:

- Table of Conformity to Part B, Condition 11, of the Diavik Water Licence
- PKMW Engagement Plan Version 1.0

## ATTACHMENT 1

### Conformity of PKMW Engagement Plan, Version 1.0, to Schedule 2 of the Amended Water Licence (W2015L2-001)

<b>Water Licence Amendment - W2015L2-001 Reference</b>	<b>Board Directive</b>	<b>Location in PKMW Engagement Plan V1.0</b>
Schedule 2: 1. a.	Include a plain language summary of the Plan	Section 2.0. Plain Language Summary
Schedule 2: 1. b.i.	Include demonstration of how the Licensee will (according to Measure 5 of the Report of Environmental Assessment (EA1819-01)): include all potentially affected Indigenous communities	Section 3.3. Purpose and Objectives
Schedule 2: 1.b.ii.	Include demonstration of how the Licensee will (according to Measure 5 of the Report of Environmental Assessment (EA1819-01)): ensure potentially affected Indigenous communities' access to meaningful and plain language results from monitoring programs	Section 2.0. Plain Language Summary, Section 3.3. Purpose and Objectives, and Section 4.3. Engagement Frequency, triggers, and methods
Schedule 2: 1.b.iii.	Include demonstration of how the Licensee will (according to Measure 5 of the Report of Environmental Assessment (EA1819-01)): include Traditional Knowledge in monitoring plans and management programs	Section 2.0. Plain Language Summary, Section 3.2. Values and Principles, Section 4.3. Engagement Frequency, triggers, and methods, Section 4.6. Engagement for Traditional Knowledge, Section 4.7. Compensation and Capacity Funding, and Section 6. PKMW Water Quality Cultural Use Criteria Workshops (REA Measure 2)
Schedule 2: 1.b.iv.	Include demonstration of how the Licensee will (according to Measure 5 of the Report of Environmental Assessment (EA1819-01)): enhance opportunities for potentially affected Indigenous communities to provide feedback in the form as determined by the affected Parties directly to the Licensee about the Project, its potential impacts, and mitigation options	Section 2.0. Plain Language Summary and Section 3.3. Purpose and Objectives

Schedule 2: 1. b.v.	Include demonstration of how the Licensee will (according to Measure 5 of the Report of Environmental Assessment (EA1819-01)): include reporting back to potentially affected Indigenous communities on how the Licensee acted (or reasons for not acting) in response to feedback from communities	Section 2.0. Plain Language Summary and Section 3.3. Purpose and Objectives
Schedule 2: 1. c.i.	Include a description of the culturally appropriate engagement protocols developed in collaboration with each potentially affected Indigenous community which were intervenors in the Environmental Assessment for the PKMW Project (EA1819-01), as well as the Kitikmeot Inuit Association. As per Measure 5 in the Report of Environmental Assessment, the protocols at a minimum shall describe: how often Diavik will engage to discuss the Project	Section 4.0. PKMW Engagement Protocols (REA Measure 5)
Schedule 2: 1.c.ii.	Include a description of the culturally appropriate engagement protocols developed in collaboration with each potentially affected Indigenous community which were intervenors in the Environmental Assessment for the PKMW Project (EA1819-01), as well as the Kitikmeot Inuit Association. As per Measure 5 in the Report of Environmental Assessment, the protocols at a minimum shall describe: an updated contact list for each potentially affected Indigenous community, relevant to specific purposes for engagement (listing contacts such as community government staff, technical consultants, Traditional Knowledge advisors, community leadership)	Section 4.1. Engagement Protocols Overview
Schedule 2: 1.c.iii.	Include a description of the culturally appropriate engagement protocols developed in collaboration with each potentially affected Indigenous community which were intervenors in the Environmental Assessment for the PKMW Project (EA1819-01), as well as the Kitikmeot Inuit Association. As per Measure 5 in the Report of Environmental	Section 4.1. Engagement Protocols Overview

	Assessment, the protocols at a minimum shall describe: preferred engagement methods, frequency, and triggers	
Schedule 2: 1. d.	Include a description on how the Licensee will communicate how it responded to Independent Review Panel recommendations (as required by Measure 4 the Report of Environmental Assessment (EA1819-01) to communities	Section 54.0. Independent Review Panel Recommendations – Communicating Responses to Communities
Schedule 2: 1. e.	Include a description of how the engagement will inform the implementation of Measure 2, 3, and 4 of the Report of Environmental Assessment (EA1819-01)	Section 4. PKMW Engagement Protocols (REA Measure 5), Section 5. Independent Review Panel Recommendations – Communicating Responses to Communities; Section 6. PKMW Water Quality Cultural Use Criteria Workshops (REA Measure 2); and Section 7.0. Expected Engagement Outcomes

Diavik Diamond Mines (2012) Inc.

**Water Licence Amendment - W2015L2-001**

# **Engagement Plan for the Processed Kimberlite to Mine Workings Project**

Version 1.0

17 September 2021

## Revision History

<b>Version</b>	<b>Release Date</b>	<b>Revision Summary</b>	<b>Author</b>
1.0	25 Jul 2021	Initial Issue	MB
1.0	8 Sep 2021	Revised	AA
1.0	14 Sep 2021	Revised	MB

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# 1. Introduction

This Engagement Plan provides a framework for community engagements with potentially affected Indigenous communities to address Measures 1, 2, 3, 4 and 5 in the Report of Environmental Assessment and Reasons for Decision (EA1819-01) (REA) for Diavik Diamond Mine (2012) Inc.'s (DDMI or Diavik) Processed Kimberlite to Mine Workings (PKMW) Project. The Engagement Plan is informed by the WLWB-approved Diavik Engagement Plan V3.1 and meets requirements of Part B, Condition 11 of the Amended Diavik Water Licence issued by the Wek'èezhìi Land and Water Board (WLWB) on June 8, 2021.

## 2. Plain Language Summary

DDMI's vision is to operate and close Diavik safely and responsibly, returning the site to conditions that are protective of the environment and working collaboratively with communities to create an enduring legacy. A draft framework for the Engagement Plan was shared with **all potentially affected Indigenous communities**, including Diavik's five Participation Agreement (PA) partners and all Indigenous groups granted Intervenor status during the Environmental Assessment for the PKMW Project. The potentially affected Indigenous communities or Indigenous Government Organizations (IGOs) are:

- Kitikmeot Inuit Association (KIA)
- Łutsel K'e Dene First Nation (LKDFN)
- North Slave Métis Alliance (NSMA)
- Tłı̄chq̄ Government (TG)
- Yellowknives Dene First Nation (YKDFN)
- Deninu Kué First Nation (DKFN)
- Northwest Territory Métis Nation (NWTMN)
- Fort Resolution Métis Government (FRMG)

Diavik has or is in the process of establishing Engagement Protocols with each of the potentially impacted Indigenous communities that will guide all engagement on the PKMW Project. **Access to meaningful and plain language results from monitoring programs will be provided** through updates with each community, at a minimum, annually, as identified in the PKMW Engagement Protocols developed in collaboration with each community.

Diavik's Traditional Knowledge (TK) Panel was started in 2011 and meets every year. The TK Panel brings together Indigenous Elders and youth from PA communities to discuss appropriate and meaningful integration of TK in operations, closure design, monitoring plans and management programs. Diavik's TK Panel will be the way in which to develop and **include Traditional Knowledge in monitoring plans and management programs**. Inclusion of TK will also be informed through PKMW-specific engagement with potentially affected Indigenous communities, specifically through the development of culturally relevant water quality objectives developed through workshops with all potentially affected Indigenous groups. These objectives will inform the

reconnection criteria Diavik must meet before reconnecting the pits with Lac de Gras, as outlined in Measure 1 of the PKMW REA.

Through on-going engagement with communities, as outlined in the PKMW Engagement Protocols, Diavik will **enhance opportunities for communities to provide feedback in the form as determined by the affected Parties directly to Diavik about the Project, its potential impacts, and mitigation options**. This work will be done through the cultural water quality workshops, TK Panel work, community-based monitoring programs, and otherwise identified in the community-specific engagement protocols.

As part of the PKMW Engagement Protocols, Diavik will **include reporting back on how it acted (or reasons for not acting) in response to feedback from the communities**.

**Diavik is submitting this Engagement Plan to outline a path to meet the requirements of Measure 5 of the PKMW REA**, as outlined in Schedule 2 of Diavik's Water Licence Amendment (W2015L2-001). This engagement plan also informs Diavik's engagement approach to meeting Measures 1, 2, 3, and 4 prior to reconnecting the pit lakes with Lac de Gras. This includes:

- water that is safe for people, aquatic life, and wildlife and should be suitable for cultural use (Measure 1);
- water quality objectives with clear, measurable and culturally relevant criteria (Measure 2);
- updated water quality models, clearly communicated to communities (Measure 3);
- the need for independent review of the model updates (Measure 4); and
- the need for Diavik to do additional and more effective engagement (Measure 5).

### **3. Engagement vision, values, and objectives**

The following vision, values, and principles for engagement were collaboratively established between Diavik and potentially impacted Indigenous groups (for the purpose of this documents, referred to as Indigenous Government Organizations, or IGO's), to inform both this Engagement Plan and subsequent Engagement Protocols.

#### **3.1. Vision**

Diavik's closure vision is to close the Diavik mine safely and responsibly, returning the site to conditions that are protective of the environment and working collaboratively with communities to create an enduring legacy. The joint engagement vision of DDMI and the IGOs is to foster and maintain collaborative relationships, grounded in respect to promote and support the social, economic and environmental health of DDMI and IGO communities and businesses.

### 3.2. Values and Principles

Diavik's PKMW engagement is based on the following values:

- Respect
- Inclusiveness
- Collaboration
- Transparency
- Accountability
- Timeliness

The following values guide our engagement principles:

- Respect and transparency;
- Open and honest dialogue between parties with the goal of improving the understanding of different perspectives and contributing to a long-term, mutually-beneficial relationship;
- Acknowledgement that engagement requires applicable and appropriate information and may include Traditional Knowledge (TK) to understand impacts and identify appropriate mitigations;
- Timeliness and responsiveness;
- Reciprocal obligations of reasonableness, good faith and cooperation; and
- Proactive identification of potential impacts and mitigations and opportunities for mutual gain.

### 3.3. Purpose and Objectives

The goal of the Engagement Plan is to guide the establishment of community-specific Engagement Protocols as part of conducting additional and more effective engagement for the PKMW Project that is culturally appropriate to each IGO, as well as to build confidence in the Project, increase understanding and trust, and reduce the likelihood of adverse impacts on cultural use of Lac de Gras.

**The purpose of engagement is to:**

- discuss how proposed activities or plans may affect traditional and cultural land uses and activities;
- identify, record and address issues;
- identify measures to avoid impacts and mitigate where avoidance isn't possible; and
- incorporate TK into closure and reclamation planning and monitoring.

**The objectives of engagement are to:**

- include all potentially affected Indigenous communities;
- ensure potentially affected Indigenous communities' access to meaningful and plain language results from monitoring programs;
- include TK in monitoring plans and management programs;

- enhance opportunities for potentially affected Indigenous communities to provide feedback directly to Diavik about the Project, its potential impacts, and mitigation options; and
- include reporting back to potentially affected Indigenous communities on how Diavik acted (or reasons for not acting) in response to feedback from communities.

## 4. PKMW Engagement Protocols (REA Measure 5)

### 4.1. Engagement Protocols Overview

Culturally appropriate Engagement Protocols have been developed in collaboration with potentially affected Indigenous communities or Indigenous Government Organizations (IGOs), who were intervenors in the Environmental Assessment for the PKMW Project, as well as the Kitikmeot Inuit Association. The engagement protocol description below aligns with finalized and draft engagement protocols established through DDMI's engagements with potentially affected IGOs for the PKMW Project.

Engagement Protocols have been finalized with:

- Łutsel K'e Dene First Nation (July 10, 2020)
- North Slave Métis Alliance (July 30, 2020)
- Kitikmeot Inuit Association (August 18, 2020)
- Tłı̨chō Government (September 11, 2020) – Tłı̨chō Elexe Gots'eedo (TEG) together with Diavik's PKMW Engagement Plan form the foundation for engagement with the Tłı̨chō Government
- Deninu Kué First Nation (September 10, 2021)
- Northwest Territories Métis Nation (September 14, 2021)

Engagement Protocols are currently in working draft with:

- Yellowknives Dene First Nation

We continue attempts to engage with Fort Resolution Métis Government (FRMG) to understand how they would like to be engaged.

While Engagement Protocols are community specific, the shared engagement vision of Diavik and the IGOs is to promote relationship building, foster increased engagement opportunities, and clarify roles and responsibilities around engagement for both Diavik and the IGO's within the Engagement Protocols.

The engagement protocols describe:

1. Engagement Vision, Values and Principles (as outlined in Section 3)

2. The purpose of the Engagement process (as outlined in Section 3)
3. The objectives of the Engagement process (as outlined in Section 3)
4. An updated contact list for Diavik and the IGO, relevant to specific purposes for engagement (listing contacts such as staff, technical consultants, TK advisors, community leadership);
5. Preferred engagement methods, frequency, and triggers for discussing PKMW Project;
6. Engagement process;
7. Reporting requirements;
8. Engagement for Traditional Knowledge;
9. Compensation and capacity funding;
10. Dispute resolution process; and
11. Other terms as determined during community-specific discussions.

The following sections provide a high-level overview of the contents of the Engagement Protocols, which align with the requirements of Measure 5. Items 1 through 3 are outlined in Section 2 and consistent across engagement protocols. Items 4 through 11 vary by community and are described in high-level below.

#### **4.2. Primary Contacts and Key Stakeholders**

Part of the process for developing the Engagement Protocols is updating the stakeholder map for the PKMW project for each community. Diavik has an active stakeholder map, which is updated every few years with communities to ensure we are engaging at the right levels on the right topics within the community.

For the Engagement Protocols, updated primary contact lists are developed with each community, which include the following information for both Diavik and community contacts:

- Position title
- Name
- Responsibility
- Contact information

This information is updated routinely as part of Diavik's stakeholder tracking and management process.

The primary contact list for each IGO with which an Engagement Protocol has been established is included in Appendix B.

#### **4.3. Engagement Key Milestones, Triggers, Frequency, and Methods**

##### **4.3.1. Key Milestones and Triggers**

Key milestones (triggers) for engagement, as well as frequency of engagement based on each milestone, and appropriate methods for engagement are developed in each Engagement Protocol

to meet the needs specific to each community. Engagement triggers were developed with communities based on the objectives of the PKMW Project as framed in the PKMW REA, which are:

1. Water should be safe for people, aquatic life, and wildlife and should be suitable for cultural use (Measure 1);
2. Water quality objectives need clear, measurable and culturally relevant criteria (Measure 2);
3. Water quality models need to be updated at the following stage gates (Measure 3):
  - i. Before PK deposition in mined-out pits;
  - ii. Before filling pits with water; and
  - iii. Before reconnection of pits to Lac de Gras;
4. Water models need independent review (Measure 4);
5. Additional and more effective engagement (Measure 5); and
6. Annual updates.

The PKMW Engagement Framework, based on key milestones for meeting the above objectives, is included below:

Trigger/Key Milestone	Primary Purpose	Primary Method	Frequency	Participants*
PKMW Measure 2: Water quality objectives need clear, measurable and culturally relevant criteria	Community input into proposed culturally relevant criteria	<ul style="list-style-type: none"> <li>• Workshop to review proposed criteria and receive feedback</li> </ul>	A workshop with calls/emails as required	PA Community: <ul style="list-style-type: none"> <li>• Lands/Environment staff</li> <li>• Elders/Knowledge Holders</li> <li>• EMAB member</li> </ul> Diavik: <ul style="list-style-type: none"> <li>• Environment/Regulatory staff</li> </ul> Communities and Social Performance staff
PKMW Measure 3: Water quality models need to be updated at the following stage gates: <ol style="list-style-type: none"> <li>1. Before PK deposition in mined-out pits;</li> </ol>	Share model results in plain language and with clear graphics at each of the three stage gates	<ul style="list-style-type: none"> <li>• Meetings</li> </ul>	A meeting at each of the three stage gates	PA Community: <ul style="list-style-type: none"> <li>• Lands/Environment staff</li> </ul> Diavik: <ul style="list-style-type: none"> <li>• Environment/Regulatory staff</li> </ul>

Trigger/Key Milestone	Primary Purpose	Primary Method	Frequency	Participants*
2. Before filling pits with water; and 3. Before reconnection of pits to Lac de Gras				<ul style="list-style-type: none"> <li>Communities and Social Performance staff</li> </ul>
PKMW Measure 4: Water models need independent review	Community input into proposed independent technical review panel	<ul style="list-style-type: none"> <li>Calls/emails</li> </ul>	As required	Same
PKMW Measure 5: Additional and more effective engagement	Community input into proposed engagement framework	<ul style="list-style-type: none"> <li>Meeting to review proposed framework and receive feedback</li> <li>Draft proposed engagement protocol</li> </ul>	<ul style="list-style-type: none"> <li>A meeting with follow up calls/emails as required;</li> <li>Reviewed annually</li> </ul>	Same
Annual PKMW project updates	Share additional PKMW project updates with community	<ul style="list-style-type: none"> <li>As part of regular scheduled Diavik business updates (at minimum)</li> </ul>	Annually	Same In addition to PA Community members, leadership

\* Community leadership is welcome to attend/participate, notified and informed of all engagements. Where recommended by the community, broader community members are also invited to attend/participate in engagements

This Engagement Framework was shared with all IGOs. It has been accepted by those IGOs with which an Engagement Protocol has been established; it is being used with those IGOs with which Engagement Protocols are currently in working draft.

### 4.3.2. Methods for Engagement

Diavik and communities established that the methods for engagement for the PKMW Project will be a combination of one or more (formal or informal) of the following:

- Written notifications and provision of draft documents;
- Non-technical and plain language summaries;
- Exchange of written comments and responses;
- Face to face meetings with Indigenous leadership and/or Indigenous community/government staff;
- Community or public meetings to gain community-based views and strengthen understanding of concerns;
- Site visits;
- Workshops;
- The Traditional Knowledge Panel; and
- Letters, emails and telephone calls.

Primary methods for engagement with each IGO have been established per the Engagement Framework in the previous section.

Additional methods of engagement may be required to account for unforeseen limitations to the above, in the event of unplanned or emergency events, such as pandemics (COVID-19). In such cases, the engagement methods will be reviewed with communities on a case-by-case basis to determine the most effective alternative that is mutually agreeable to both Parties.

### 4.4. Engagement Process

The general process for engagement, as outlined below, will be led by the lead contacts for both Diavik and the community, as identified in section 4.2 above.

- DDMI will share proposed drafts and/or supporting document(s) with the Community Lead Contact.
  - Lead Contact to coordinate necessary leadership or community engagement.
  - Where possible, the Community will have at least one week to review drafts/documents in advance of any meetings.
- Unless otherwise agreed, Diavik and the Community will meet to review and discuss the proposed draft or materials.
  - The Community will provide feedback when possible to Diavik within two weeks of the meeting.
  - Feedback can also be provided through emails or phone calls.
- Diavik will consolidate feedback from the Community (and from engaging with other communities), revise draft documents and provide revised drafts to each community, indicating how feedback has been incorporated or reasons why it has not been incorporated.
  - Revised drafts will be provided within two weeks of receiving feedback from impacted communities.



- Diavik will share the final documents submitted to WLWB with each community.

#### **4.5. Reporting**

In addition to the process for reporting outlined above, engagement tracking, and documentation will be completed as per Diavik's Engagement Plan V3.1, as approved by the Wek'èezhii Land and Water Board. The engagement record will be reviewed and signed by both DDMI and the Community.

#### **4.6. Engagement for Traditional Knowledge**

To facilitate appropriate and meaningful accommodation of traditional knowledge into Diavik's environmental management and monitoring programs and plans (most notably closure planning), Diavik utilizes several different methods, including:

- A Traditional Knowledge Panel (community Elders and youth representatives);
- Traditional Knowledge monitoring programs; and
- Community assistance with environmental monitoring programs.

Collection and dissemination of Traditional Knowledge (TK) is a sensitive process and Diavik respects the process and limitations identified by Elders that participate in TK programs and share their knowledge. An informed consent form and verification process is employed for the TK Panel and TK monitoring programs; participants provide direction as to what can be shared publicly.

Diavik heard from communities that engagement and information sharing on project components and engagement specifically for the integration of TK in Diavik's plans and project design, specifically around the development of cultural criteria for water quality, warrants a section specific to Traditional Knowledge in the Engagement Plan to be included in the engagement protocols. Similar to the instances outlined above, should TK be shared during other engagement activities, including engagement for the PKMW project, this information would not be shared publicly, unless explicit permission was provided.

#### **4.7. Compensation and Capacity Funding**

Dependant on the type of engagement, remuneration for engagement and the contribution of Traditional Knowledge at the request of Diavik may be permitted, including but not limited to honoraria, meeting costs, translators, etc. With respect to engagement intended specifically to meet obligations under the PKMW Project, reasonable costs associated with engagement may be considered on a case-by-case basis with communities under the applicability of Diavik's policies and where agreed upon in advance.

Diavik recognizes that many communities have their own engagement policies, as well as standards around honoraria and remuneration. The Engagement Protocols reflect these community-specific engagement policies regarding both engagement and remuneration.

#### **4.8. Dispute Resolution**

Diavik makes every effort to be fair, transparent, and responsive to any concerns or conflicts arising from engagement with communities. Diavik also has a Communities Complaints and Grievances Process, which is appended to this plan, and which will apply to the PKMW process as it does with all Diavik's engagement with communities and stakeholders.

We recognize that at times, a more formal process may be needed to resolve a dispute. A dispute resolution process is defined in Diavik's Engagement Plan V3.1, as approved by the Wek'èezhii Land and Water Board. This dispute resolution process will apply to the PKMW Project.

### **5. Independent Review Panel Recommendations – Communicating Responses to Communities**

A series of four (4) webinar discussions among the Water Quality Modelling Independent Review Panel (IRP), Diavik and Diavik's consultants was held between August 20<sup>th</sup> and October 1<sup>st</sup>, 2020. While the primary intent of these webinars was to provide transparency to the public on the dialogue between the IRP and Diavik, potentially affected communities and governments were able to participate in the webinar sessions and ask questions to the IRP as well.

The webinar series provided a robust review by the IRP, in the public eye, of the base model for water quality at the first stage gate: i. before PK deposition in mined-out pits.

Diavik will update water quality modelling at each of the remaining stage gates:

- ii. before filling pits with water; and
- iii. before reconnection of pits to Lac de Gras

Each water quality model in stages two and three will be reviewed again by the IRP. Any recommendations provided by the IRP will be responded to by Diavik. These responses will be shared with communities at annual community update engagements, identified in the PKMW Engagement Protocols with each potentially affected Indigenous community. Should a community request additional information, subsequent follow-up meetings will be provided. These activities address REA Measures 3 and 4 i.e. *water quality models need to be updated at the identified stage gates and water models need independent review.*

### **6. PKMW Water Quality Cultural Use Criteria Workshops (REA Measure 2)**

Based on recommendations from session 12 of Diavik's Traditional Knowledge (TK) Panel (September 2019), Diavik developed and proposed high-level water quality objectives including culturally relevant criteria for Diavik closure during Regulatory and Closure Update Meetings with

communities in the summer of 2020. Because this proposed closure criteria for water quality was generally received positively by potentially affected Indigenous communities during these initial meetings, Diavik expanded the criteria further and, after testing alignment with the Environmental Monitoring Advisory Board (EMAB), third-party facilitated workshops were planned to discuss the expanded criteria with communities.

REA Measure 2 states that *water quality objectives need clear, measurable and culturally relevant criteria*.

The intent of the workshops is to provide an opportunity for feedback on the proposed criteria and further develop these criteria to include the recommendations of the broader potentially affected Indigenous communities. These criteria and the feedback from workshops will be compiled and shared with the WLWB as part of the water license amendment during the regulatory process.

The current status of each workshop is provided below.

Indigenous Community or IGO	PKMW Cultural Water Quality Criteria Workshop (Measure 2)
Kitikmeot Inuit Association	Completed (October 13-14, 2020)
Łutsel K'e Dene First Nation	Completed (September 24, December 3, 2020)
North Slave Métis Alliance	Completed (September 22-23, 2020)
Tłı̄cho Government	Completed (November 5, 12-13, 2020)
Yellowknives Dene First Nation	Completed (June 3-4, 2021)
Deninu Kué First Nation	Completed (May 12-13, 2021)
Northwest Territory Métis Nation	Completed (May 3-4, 2021)
Fort Resolution Métis Government	not yet commenced

## 7. Expected Engagement Outcomes

Meaningful engagement with potentially affected Indigenous communities through the PKMW Engagement Protocols, the IRP recommendations and Diavik responses, and the water quality cultural use criteria workshops, will achieve the objectives of the PKMW project and REA measures including:

- the need for water quality objectives with clear, measurable and culturally relevant criteria (Measure 2)

- the need for water quality models to be updated (Measure 3);
- the need for independent review of the model updates (Measure 4); and
- the need for Diavik to do additional and more effective engagement (Measure 5).

Implementation of the PKMW Engagement Plan will enable meaningful engagement with potentially affected Indigenous communities to ensure that water is safe for people, aquatic life, and wildlife and suitable for cultural use as per REA Measure 1.

## Appendix A – DDMI Community Engagement Record

## DDMI Community Engagement Record

DATE & TIME	ENGAGEMENT TRIGGER	ATTENDEES	LOCATION/ ENGAGEMENT ACTIVITY TYPE*	ISSUE(S) RAISED	DDMI RESPONSE	OVERVIEW OF ISSUE(S) RESOLVED [1]	OVERVIEW OF ISSUE(S) UNRESOLVED	MATERIALS PROVIDED TO ENGAGEMENT PARTY	MINUTES (Y/N)
2020-05-26, 13:00-14:30	Business, Regulatory and Closure Update Engagement (including proposed engagement framework for PKMW)	DDMI (President, Closure Team, Communities and Communications Team); North Slave Metis Alliance (President, Vice President, Board Secretary/Treasurer. Board Member, Business Development Manager, Manager, Environment, Regulatory Analyst, Conservation Planner, four Community Members)	Virtual Meeting (via video and telephone conference)	update; no issues raised	n/a	n/a	n/a	digital copy of presentation	n
2020-05-28, 13:00-14:30	Regulatory and Closure Update Engagement (including proposed engagement framework for PKMW)	DDMI (Closure Team Members); Yellowknives Dene First Nation (Director Environment, Regulatory Staff)	Virtual Meeting (via video and telephone conference)	update; no issues raised	n/a	n/a	n/a	digital copy of presentation	n
2020-06-10, 17:00-18:00	Regulatory and Closure Update Engagement (including proposed	DDMI (Closure Team Members, Communities Staff); Lutsel K'e Dene First Nation (Director,	Virtual Meeting (via telephone conference)	update; no issues raised	n/a	n/a	n/a	digital copy of presentation	n

DATE & TIME	ENGAGEMENT TRIGGER	ATTENDEES	LOCATION/ ENGAGEMENT ACTIVITY TYPE*	ISSUE(S) RAISED	DDMI RESPONSE	OVERVIEW OF ISSUE(S) RESOLVED [1]	OVERVIEW OF ISSUE(S) UNRESOLVED	MATERIALS PROVIDED TO ENGAGEMENT PARTY	MINUTES (Y/N)
	engagement framework for PKMW)	Wildlife, Lands and Environment, WLE Committee)							
2020-06-18	DDMI and YKDFN Engagement Protocol for PKMW - DRAFT	Principal Advisor, Communities and Social Performance, Closure, DDMI; Senior Advisor, Communities and Social Performance, DDMI; Manager, Closure, DDMI; Director Environment, YKDFN; Regulatory Staff, YKDFN	email	PKMW Protocol draft 1 shared for review	n/a	Collaborative engagement on-going to review and revise draft protocol	n/a	DDMI and YKDFN Engagement Protocol for PKMW – DRAFT 1	n/a
2020-06-23, 13:00-15:30	Regulatory and Closure Update Engagement (including proposed engagement framework for PKMW)	DDMI (Closure Team Members, Communities Staff); Tlicho Government (Manager, Lands, Regulatory Specialist, Technical Advisor and Consultant)	Virtual Meeting (via video and telephone conference)	update; no issues raised	n/a	n/a	n/a	digital copy of presentation	n
2020-06-30, 10:00-11:30	Regulatory and Closure Update Engagement (including proposed engagement framework for PKMW)	DDMI (Closure Team Members, Communities Staff); Kitikmeot Inuit Association (Sr. Lands Officer, Sr. Environment Officer)	Virtual Meeting (via telephone conference)	update; no issues raised	n/a	n/a	n/a	digital copy of presentation	n

DATE & TIME	ENGAGEMENT TRIGGER	ATTENDEES	LOCATION/ ENGAGEMENT ACTIVITY TYPE*	ISSUE(S) RAISED	DDMI RESPONSE	OVERVIEW OF ISSUE(S) RESOLVED [1]	OVERVIEW OF ISSUE(S) UNRESOLVED	MATERIALS PROVIDED TO ENGAGEMENT PARTY	MINUTES (Y/N)
2020-07-10	DDMI and LKDFN Engagement Protocol for PKMW-EXECUTED	Principal Advisor, Communities and Social Performance, Closure, DDMI; Director, Wildlife, Lands and Environment Department, LKDFN	n/a	n/a	n/a	n/a	n/a	n/a	n/a
2020-07-30	DDMI and NSMA Engagement Protocol for PKMW - EXECUTED	Principal Advisor, Communities and Social Performance, Closure, DDMI; President, NSMA	n/a	n/a	n/a	n/a	n/a	n/a	n/a
2020-08-18	DDMI and KIA Engagement Protocol for PKMW- APPROVED (note email dated 2020-11-03 from Director, Lands, Environment and Resources)	Principal Advisor, Communities and Social Performance, Closure, DDMI; Senior Project Officer, KIA	n/a	n/a	n/a	n/a	n/a	n/a	n/a
2020-08-24, 17:30-19:30	Regulatory Update Engagement (including proposed engagement framework)	DDMI (Closure Team Members, Communities Staff); Fort Resolution Metis Government (Environment Staff, Board Members, Consultant)	Virtual Meeting (via video conference)	FRMG requested resourcing to enable their engagement in project	requested resourcing proposal for consideration	On-going engagement requests from DDMI to establish engagement process with FRMG	DDMI-requested resourcing proposal not yet received from FRMG	digital copy of presentation	n



DATE & TIME	ENGAGEMENT TRIGGER	ATTENDEES	LOCATION/ ENGAGEMENT ACTIVITY TYPE*	ISSUE(S) RAISED	DDMI RESPONSE	OVERVIEW OF ISSUE(S) RESOLVED [1]	OVERVIEW OF ISSUE(S) UNRESOLVED	MATERIALS PROVIDED TO ENGAGEMENT PARTY	MINUTES (Y/N)
2020-09-04 to 2020-11-09	proposed engagement framework	DDMI (Closure Team Members, Communities Staff); FRMG (Environment Staff, President)	Emails and telephone calls	FRMG requested meeting with GNWT and DDMI	Available to meet	On-going status update requests from DDMI to FRMG	No resolution	n/a	n
2020-09-01, 9:30-11:30	Regulatory Update Engagement (including proposed engagement framework for PKMW)	DDMI (Closure Team Members, Communities Staff); Northwest Territory Metis Nation (President, Land and Resources Manager, Consultants?)	Virtual Meeting (via telephone conference)	NWTMN requested resourcing to enable their engagement in project	requested resourcing proposal for 2020 for consideration		DDMI-requested resourcing proposal not yet received from NWTMN	digital copy of presentation	n
2020-09-11	DDMI and TG Engagement Protocol for PKMW- AGREEMENT referencing Tłı̄ch̄o El̄exe Gots'eedo (TEG)	Manager and Principal Advisor, Communities and Social Performance, Closure, DDMI; Manager of Lands, TG	n/a	n/a	n/a	n/a	n/a	n/a	n/a
2020-11-03	DDMI and KIA Engagement Protocol for PKMW	Director, Lands, Environment and Resources, KIA; Principal Advisor, Communities and Social Performance, Closure, DDMI; Executive Director,	email	No need for formal engagement protocol as there is already over 20 years of established practices and	Agreed	n/a	n/a	n/a	n

DATE & TIME	ENGAGEMENT TRIGGER	ATTENDEES	LOCATION/ ENGAGEMENT ACTIVITY TYPE*	ISSUE(S) RAISED	DDMI RESPONSE	OVERVIEW OF ISSUE(S) RESOLVED [1]	OVERVIEW OF ISSUE(S) UNRESOLVED	MATERIALS PROVIDED TO ENGAGEMENT PARTY	MINUTES (Y/N)
		KIA: Senior Project Officer, KIA		relationships between the groups that facilitates engagement					
2020-12-07, 13:00-14:00; 2020-12-11 10:00-11:30	PKMW Project introduction (including Regulatory Update Engagement and proposed engagement)	DDMI (Closure Team Members, Communities Staff); Deninu Kué First Nation (Councillor, Consultant)	Virtual Meeting (via telephone conference)	update; no issues raised	n/a	n/a	n/a	digital copy of presentation	n
2021-05-11	proposed engagement framework	Principal Advisor, Communities and Social Performance, Closure, DDMI; Environment Staff, FRMG; President, FRMG	Email	Request to understand if DKFN would like a separate engagement to the PKMW engagement process with NWTMN (proposed to include engagements with each of the council communities of Fort Resolution,	n/a	No response	No resolution	n/a	n

DATE & TIME	ENGAGEMENT TRIGGER	ATTENDEES	LOCATION/ ENGAGEMENT ACTIVITY TYPE*	ISSUE(S) RAISED	DDMI RESPONSE	OVERVIEW OF ISSUE(S) RESOLVED [1]	OVERVIEW OF ISSUE(S) UNRESOLVED	MATERIALS PROVIDED TO ENGAGEMENT PARTY	MINUTES (Y/N)
				Fort Smith and Hay River					
2021-08-26	proposed PKMW cultural water quality criteria	Principal Advisor, Communities and Social Performance, Closure, DDMI; Environment Staff, FRMG; President, FRMG; Manager, Closure, DDMI	Email	Shared proposed PKMW cultural water quality criteria to be submitted to WLWB	n/a	Scheduled meeting 2021-09-16	On-going	n/a	n
2021-09-10	DDMI and DKFN Engagement Protocol for PKMW - EXECUTED	Principal Advisor, Communities and Social Performance, Closure, DDMI; SAO, DKFN	n/a	n/a	n/a	n/a	n/a	n/a	n/a
2021-09-14	DDMI and NWTMN Engagement Protocol for PKMW - APPROVED	Principal Advisor, Communities and Social Performance, Closure, DDMI; Chief, DKFN	n/a	n/a	n/a	n/a	n/a	n/a	n/a

\*It is noted that all engagements undertaken after March 2020 were virtual due to restrictions arising from the COVID-19 pandemic.

## **Appendix B – Primary Contact Lists for Approved PKMW Engagement Protocols**

DDMI and LKDFN Contacts for Processed Kimberlite to Mine Workings Project (\*leads) –  
 excerpt from Diavik Diamond Mines Inc. (2012) and Łutsel K'e Dene First Nation:  
 Engagement Protocol for the Processed Kimberlite to Mine Workings Project, July, 2020

<b>Position Title</b>	<b>Responsibility</b>
<b>DDMI</b>	
President & COO	Diavik Diamonds Mine business
Closure Manager; Technical & Regulatory Lead	Senior manager accountable for closure
Principal Advisor, Communities & Social Performance (CSP)*	Implementation of closure Indigenous community and stakeholder engagement
Principal Advisor Environment & Closure Readiness	Lead for regulatory approvals, environment and primary contact for regulators, records management
Manager, Communities & Communications	Management of communities and stakeholder relationships and communications
Communities Advisor	Support on communities; operations lead, NSMA
<b>LKDFN</b>	
Chief	LKDFN leadership
Director of Wildlife, Lands and Environment*	Regulatory engagement requirements, wildlife monitoring, AEMP, TK Panel
DDMI Liaison	PA implementation, planning, general communications
EMAB Board Member	EMAB liaison, advising board of engagement outcomes and providing final documents
TK Knowledge Advisor	TK Panel Member; Wildlife, Lands and Environment Committee Member
TK Knowledge Advisor	TK Panel Member; Wildlife, Lands and Environment Committee Member
TK Knowledge Advisor	TK Panel Member; Wildlife, Lands and Environment Committee Member
Wildlife, Lands and Environment Committee Advisors	Wildlife, Lands and Environment Committee Member

DDMI and NSMA Contacts for Processed Kimberlite to Mine Workings Project (\*leads) – excerpt from Diavik Diamond Mines Inc. (2012) and North Slave Métis Alliance: Engagement Protocol for the Processed Kimberlite to Mine Workings Project, Version 1.0, July 2020

<b>Position Title</b>	<b>Responsibility</b>
<b>DDMI</b>	
President & COO	Diavik Diamonds Mine business
Closure Manager; Technical & Regulatory Lead	Senior manager accountable for closure
Principal Advisor, Communities & Social Performance (CSP)*	Implementation of closure Indigenous community and stakeholder engagement
Principal Advisor Environment & Closure Readiness	Lead for regulatory approvals, environment and primary contact for regulators, records management
Manager, Communities & Communications	Management of communities and stakeholder relationships and communications
Senior Communities Advisor	Support on communities
Communities Advisor	Support on communities; operations lead, NSMA
<b>NSMA</b>	
President	Regulatory requirements, closure
Vice President	Regulatory requirements, closure
Manager of Environment*	Regulatory engagement requirements, wildlife monitoring, AEMP, TK Panel
Regulatory Analyst	Support on regulatory engagement requirements, wildlife monitoring, AEMP, TK Panel
EMAB Board Member	EMAB liaison, advising board of engagement outcomes and providing final documents
TK Knowledge Advisor	TK Panel Member
TK Knowledge Advisor	TK Panel Member

DDMI and KIA Contacts for Processed Kimberlite to Mine Workings Project (\*leads) – excerpt from Diavik Diamond Mines Inc. (2012) and Kitikmeot Inuit Association: Engagement Protocol for the Processed Kimberlite to Mine Workings Project, August 2020

<b>Position Title</b>	<b>Responsibility</b>
<b>DDMI</b>	
President & COO	Diavik Diamonds Mine business
Closure Manager; Technical & Regulatory Lead	Senior manager accountable for closure
Principal Advisor, Communities & Social Performance (CSP)	Implementation of closure Indigenous community and stakeholder engagement
Principal Advisor Environment & Closure Readiness	Lead for regulatory approvals, environment and primary contact for regulators, records management
Manager, Communities & Communications	Management of communities and stakeholder relationships and communications
Senior Communities Advisor	Support on communities; operations lead, KIA
<b>KIA</b>	
President	Regulatory requirements, closure
Executive Director	Regulatory requirements, closure
Director of Lands and Environment	Regulatory engagement requirements, wildlife monitoring, AEMP
Senior Lands Officer*	Support on regulatory engagement requirements, wildlife monitoring, AEMP
Community Liaison Officer	Support on regulatory engagement requirements, wildlife monitoring, AEMP; TK Panel
EMAB Board Member	EMAB liaison, advising board of engagement outcomes and providing final documents
TK Knowledge Advisor	TK Panel Member
TK Knowledge Advisor	TK Panel Member
TK Knowledge Advisor	TK Panel Member

DDMI and TG Contacts for Processed Kimberlite to Mine Workings Project (\*leads) – excerpts from Tłı̄ch̄o Elexe Gots'eedo (formerly “Tłı̄ch̄o Government Consultation and Engagement Guidelines” draft) and Diavik Diamond Mines Inc. (2012) and Tłı̄cho Government Engagement Protocol for the Processed Kimberlite to Mine Workings Project, DRAFT Version 2.0, September 2020

The contact listed below includes key contacts that are likely to be involved in engagement and consultation with industry, government, researchers or other organizations. This contact list is intended to provide parties with a first point of contact to establish clear lines of communication and engagement with the Tłı̄ch̄o Government and community governments. For new companies to the region, initial contact should be provided to the Tłı̄ch̄o Executive Officer who will direct to the appropriate contact.

Title	Department	Contact Information	Pertinent Issues
<b>Lands Managers:</b> 1) Lands Protection and Renewable Resources 2) Lands Regulation	Culture and Lands Protection	867-392-6381	-All land use permit and water licence submissions -Environmental plan consultation -Environmental monitoring -GIS and Data Access -Land Access -License renewals and applications -Reclamation - Site tours
Manager / Research Operations & Training	Culture and Lands Protection	867-392-6381	-Research permits -Traditional knowledge research
Mines Liaison Coordinator	Office of the TEO	867-766-4003	-General corporate giving -Socio-economic and industry-related matters -IBA implementation -Listening Post -Miscellaneous site activities -Community visits
Tłı̄ch̄o Executive Officer	Office of the TEO	867-766-4003	-Inquiries to the CEC -All other Tłı̄ch̄o Government matters

Position Title	Responsibility
<b>DDMI</b>	
President & COO	Diavik Diamonds Mine business
Closure Manager; Technical & Regulatory Lead	Senior manager accountable for closure
Principal Advisor, Communities & Social Performance (CSP)	Implementation of closure Indigenous community and stakeholder engagement
Principal Advisor Environment & Closure Readiness	Lead for regulatory approvals, environment and primary contact for regulators, records management
Manager, Communities & Communications	Management of communities and stakeholder relationships and communications



<b>Position Title</b>	<b>Responsibility</b>
Senior Communities Advisor*	Support on communities
<b>Tłıcho Government</b>	
Manager of Lands	Regulatory engagement requirements, wildlife monitoring, AEMP, TK Panel
Regulatory Specialist	Regulatory engagement requirements
Mines Liaison Coordinator*	PA implementation, planning, general communications
Tlıcho Executive Officer	Upon initiation of a project, in advance of an application for regulatory approval; inquiries to the CEC; all other Tłıchų Government matters
EMAB Board Member	EMAB liaison, advising board of engagement outcomes and providing final documents
TK Knowledge Advisor	TK Panel Member
TK Knowledge Advisor	TK Panel Member
TK Knowledge Advisor	TK Panel Member

DDMI and DKFN Contacts for Processed Kimberlite to Mine Workings Project (\*leads) –  
 excerpt from: Diavik Diamond Mines Inc. (2012) and Deninu Kué First Nation Engagement  
 Protocol for the Processed Kimberlite to Mine Workings Project, September 2021

Position Title	Responsibility
<b>DDMI</b>	
President & COO	Diavik Diamonds Mine business
Closure Manager; Technical & Regulatory Lead	Senior manager accountable for closure
Principal Advisor, Communities & Social Performance (CSP) – Closure*	Implementation of closure Indigenous community and stakeholder engagement
Principal Advisor Environment & Closure Readiness	Lead for regulatory approvals, environment and primary contact for regulators, records management
Senior Advisor, Communities	Senior advisor responsible for stakeholder relationships
<b>DKFN</b>	
Chief	Regulatory requirements, closure
Senior Administrative Officer	Regulatory requirements, closure
DKFN Councilor	Regulatory engagement requirements
DKFN IMA Coordinator*	Regulatory engagement requirements
Consultant (Senior Wildlife Biologist, LGL Limited)	Regulatory requirements, closure

DDMI and NWTMN Contacts for Processed Kimberlite to Mine Workings Project (\*leads) – excerpt from: Diavik Diamond Mines Inc. (2012) and Northwest Territory Métis Nation Engagement Protocol for the Processed Kimberlite to Mine Workings Project, September 2021

Position Title	Responsibility
<b>DDMI</b>	
President & COO	Diavik Diamonds Mine business
Closure Manager; Technical & Regulatory Lead	Senior manager accountable for closure
Principal Advisor, Communities & Social Performance (CSP) – Closure*	Implementation of closure Indigenous community and stakeholder engagement
Principal Advisor Environment & Closure Readiness	Lead for regulatory approvals, environment and primary contact for regulators, records management
Senior Advisor, Communities	Senior advisor responsible for stakeholder relationships
<b>NWTMN</b>	
President	Regulatory requirements, closure
Executive Director	Regulatory requirements, closure
Land and Resources Manager*	Regulatory engagement requirements
Legal Council	Regulatory engagement requirements